OIPE IAP72 NOV 14 2005 PATENT & TRADEMARK OFFICE

OIPE IAP72 NOV 14 2005 PATENT & TRADEMARK OFFICE

FW 2179

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Ralf Hofmann et al.		
Assignee:	Sun Microsystems, Inc.		
Title:	METHOD AND STRUCTURE FOR DYNAMIC CONVERSION OF DATA		
Serial No.:	09/759,742	Filed:	January 12, 2001
Examiner:	Queler, Adam M.	Group Art Unit:	2179
Docket No.:	P-4356		

Monterey, CA
November 9, 2005

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER §1.97(b)

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) wish to call the following documents (a copy of each is enclosed) to the attention of the Examiner:

U.S. PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	NAME
1)	5,809,415	09/15/98	Rossmann
2)	6,421,733	07/16/02	Tso et al.
3)	6,591,245	07/08/03	Klug
4)	6,732,330	05/04/04	Claussen et al.
5)	2001/0009016	07/19/01	Hofmann et al.
6)	2001/0020255	09/06/01	Hofmann et al.
7)	2001/0037417	11/01/01	Meyer

GUNNISON, McKAY & HODGSON, L.L.P.
Garden West Office Plaza, Suite 220
1900 Garden Road
Monterey, CA 93940
(831) 655-0880
Fax (831) 655-0888

OTHER DOCUMENTS

1)	Evans et al., "Using Java Applets and CORBA for Multi-User Distributed Applications", IEEE Internet Computing, Vol.1, No.3, pages 43-55, May-June 1997. (XP002080603).
2)	Dömel, "Mobile Telescript Agents and the Web", Digest of Papers of the Computer Society Computer Conference Compcon, Conf. 41, pages 52-57, February 2, 1996. (XP000628465).
3)	Cunningham, "Architecture for Location Independent CORBA Environments" MSc Degree Dissertation, pages 1-104, September 1998. (XP002145451).
4)	Eberhardt et al., "Electronic Commerce-A Comparative Study of Web Based Database Access", XVI World Telecom Congress Proceedings, pages 97-104, September 21, 1997. (XP000704460).
5)	Gokhale et al., "Evaluating the Performance of Demultiplexing Strategies for Real-time CORBA", Global Telecommunications Conference, IEEE, pages 1729-1734, November 3, 1997. (XP000737817).
6)	Berg, "How Do I Transfer Data Securely?", Dr. Dobb's Journal, pages 119-121, February 1998. (XP000937440).
7)	Koffman et al., "CS1 Using Java Language Features Gently" Proceedings of the 4th Annual SIGSCE/SIGCUE Conference on Innovation and Technology in Computer Science Education, Krakow, Poland, pages 40-43, June 27, 1999. (XP002145452).
8)	Coddington et al., "Web-Based Access to Distributed High-Performance Geographic Information Systems for Decision Support", Proceedings of the 32nd Hawaii International Conference on System Sciences, pages 1-12, January 5, 1999. (XP002145453).
9)	Cimpu et al., "Dynamic Managed Objects for Network Management", Proceedings of the 1999 IEEE Canadian Conference on Electrical and Computer Engineering, pages 156-161, May 9-12, 1999. (XP002145454).

A PTO form 1449 listing these documents is enclosed. Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;

2. a representation that a search has been made, other than as described above; or

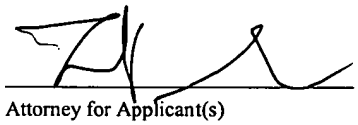
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

GUNNISON, McKAY & HODGSON, L.L.P.
Garden West Office Plaza, Suite 220
1900 Garden Road
Monterey, CA 93940
(831) 655-0880
Fax (831) 655-0888

The Commissioner is hereby authorized to charge any fees required for consideration of this Information Disclosure Statement, and to credit any overpayment of fees to Deposit Account No. 50-0553.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on November 9, 2005.



Attorney for Applicant(s)

November 9, 2005
Date of Signature

Respectfully submitted,

Forrest Gunnison
Attorney for Applicant(s)
Reg. No. 32,899
(831) 655-0880

GUNNISON, McKAY & HODGSON, L.L.P.
Garden West Office Plaza, Suite 220
1900 Garden Road
Monterey, CA 93940
(831) 655-0880
Fax (831) 655-0888

Form PTO-1449	Atty Docket No.	Serial No.
	P-4356	09/759,742
INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)	Applicant(s) Ralf Hofmann et al.	
	Filing Date January 12, 2001	Group 2179

OIPE 2172 NOV 14 2005 PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,809,415	09/15/98	Rossmann	455	422	
	AB	6,421,733	07/16/02	Tso et al.	709	246	
	AC	6,591,245	07/08/03	Klug	705	10	
	AD	6,732,330	05/04/04	Claussen et al.	715	513	
	AE	2001/0009016	07/19/01	Hofmann et al.	709	219	
	AF	2001/0020255	09/06/01	Hofmann et al.	709	318	
	AG	2001/0037417	11/01/01	Meyer	709	332	

FOREIGN PATENT DOCUMENTS

							Translation	
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	AH							

OTHER DOCUMENTS (*Including Author, Title, Date, Pertinent Pages, Etc.*)

	AI	Evans et al., "Using Java Applets and CORBA for Multi-User Distributed Applications", IEEE Internet Computing, Vol.1, No.3, pages 43-55, May-June 1997. (XP002080603).
	AJ	Dömel, "Mobile Telescript Agents and the Web", Digest of Papers of the Computer Society Computer Conference Compcon, Conf. 41, pages 52-57, February 2, 1996. (XP000628465).
	AK	Cunningham, "Architecture for Location Independent CORBA Environments" MSc Degree Dissertation, pages 1-104, September 1998. (XP002145451).
	AL	Eberhardt et al., "Electronic Commerce-A Comparative Study of Web Based Database Access", XVI World Telecom Congress Proceedings, pages 97-104, September 21, 1997. (XP000704460).
	AM	Gokhale et al., "Evaluating the Performance of Demultiplexing Strategies for Real-time CORBA", Global Telecommunications Conference, IEEE, pages 1729-1734, November 3, 1997. (XP000737817).
	AN	Berg, "How Do I Transfer Data Securely?", Dr. Dobb's Journal, pages 119-121, February 1998. (XP000937440).
	AO	Koffman et al., "CS1 Using Java Language Features Gently" Proceedings of the 4th Annual SIGSCE/SIGCUE Conference on Innovation and Technology in Computer Science Education, Krakow, Poland, pages 40-43, June 27, 1999. (XP002145452).

Examiner	Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

Form PTO-1449	Atty Docket No.	Serial No.
INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)	P-4356	09/759,742
	Applicant(s) Ralf Hofmann et al.	
	Filing Date January 12, 2001	Group 2179

OIPE IAP72 NOV 14 2005 PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

							Translation	
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	AL							
	AM							
	AN							
	AO							

OTHER DOCUMENTS (*Including Author, Title, Date, Pertinent Pages, Etc.*)

	AP	Coddington et al., "Web-Based Access to Distributed High-Performance Geographic Information Systems for Decision Support", Proceedings of the 32nd Hawaii International Conference on System Sciences, pages 1-12, January 5, 1999. (XP002145453).
	AQ	Cimpu et al., "Dynamic Managed Objects for Network Management", Proceedings of the 1999 IEEE Canadian Conference on Electrical and Computer Engineering, pages 156-161, May 9-12, 1999. (XP002145454).
	AR	

Examiner	Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).